



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: Michael J. Novosel et al.

SERIAL NO.: 08/851,200

GROUP ART UNIT:

FILING DATE: May 5, 1997

EXAMINER:

INFORMATION DISCLOSURE STATEMENT TRANSMITTAL LETTER

Asst. COMMISSIONER FOR PATENTS  
WASHINGTON, D.C. 20231

S:II R:

Submitted herewith is an Information Disclosure Statement for consideration in the above-identified application. This Information Disclosure Statement is submitted.

Within 3 months (1) of filing date of a national application; (2) of date of entry of the national stage as set forth in 37 CFR §1.491 in an international application; or (3) before the mailing date of a first Office Action on the merits, whichever occurs last. (No fee is required.)

After the mailing date of a first Office Action but before (1) mailing of a final action under 37 CFR §1.113; or (2) mailing of a notice of allowance under §1.311, whichever occurs first.

Payment for the fee set forth in 37 CFR §1.17(p) accompanies this submission; or

The certification specified in 37 CFR §1.97(e) is made below. (No fee is required.)

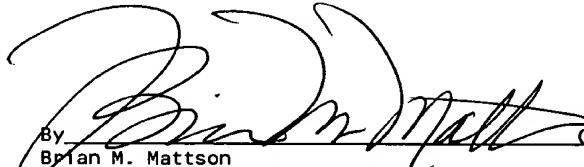
After the mailing of (1) a final action under 37 CFR §1.113; or (2) a notice of allowance under 37 CFR §1.311 whichever occurs first, but before payment of the issue. The certification specified in 37 CFR §1.97(e) is made below. The Commissioner hereby is petitioned to consider the Information Disclosure Statement accompanying this submission. Payment for the Petition fee set forth in 37 CFR §1.17(i)(1) accompanies this submission.

The undersigned counsel for applicant(s) hereby certifies each item of information identified in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

The undersigned counsel for applicant(s) hereby certifies that no item of information contained in the Information Disclosure Statement was cited in a communication from a foreign Patent Office in a counterpart foreign application, or to the knowledge of the undersigned, after making reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of the statement.

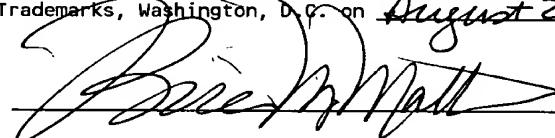
A check in the amount of \$ \_\_\_\_\_ to cover any required fee is enclosed.

The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Account No. 08-2290. A duplicate copy of this sheet is enclosed for this purpose.

  
By \_\_\_\_\_  
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(Reg. No. 35,018 )

When phoning re this application,  
please call (312) 876-0200 Ext. 3165

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D.C. on August 29, 1997.

  
(Reg. No. 35,018 )



CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D. C. 20231 on August 29, 1997.

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Brian M. Mattson (Reg. No. 35,018)



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INFORMATION DISCLOSURE STATEMENT

APPLICANTS: Novosel et al. GROUP ART UNIT:  
SERIAL NO.: 08/851,200 EXAMINER:  
FILING DATE: May 5, 1997 ATTY. DOCKET NO.: P97,1046  
INVENTION: "SOUND RECORDING AND REPRODUCTION SYSTEM"

Asst. Commissioner for Patents  
Washington, D.C. 20231

SIR:

In accordance with the provisions of 37 CFR §1.56, Applicants request that citation and examination of the references identified on the attached PTO-1449 form, copies of which are enclosed herewith in accordance with 37 CFR §1.98, be made during the course of examination of the above-mentioned application for U.S. patent. No fee is due for submission of this statement since a first Office Action on the merits has not been mailed by the Patent Office. If, however, any fees are due and owing in view of this communication, Applicants authorize the Patent Office to charge Deposit Account No. 08-2290. **A duplicate of this sheet is enclosed for this purpose.**

I. SUBMITTED REFERENCES

A. U.S. PATENTS

<u>PATENT NO.</u>	<u>PATENTEE</u>	<u>ISSUE DATE</u>
3,839,822	Rexford	Oct. 08, 1974
3,893,107	Schedler	Jul. 01, 1975
4,266,368	Nyman	May 12, 1981
4,267,551	Dankman et al.	May 12, 1981
4,293,851	Beyl, Jr.	Oct. 06, 1981
4,314,236	Mayer et al.	Feb. 02, 1982
4,325,199	McEdwards	Apr. 20, 1982

4,335,381	Palmer	Jun. 15, 1982
4,341,982	Lahti et al.	Jul. 27, 1982
4,524,932	Bodziak	Jun. 25, 1985
4,572,995	Enami	Feb. 25, 1986
4,572,996	Hanschke et al.	Feb. 25, 1986
4,613,103	Waranowitz	Sep. 23, 1986
4,914,431	Severson et al.	Apr. 03, 1990
4,946,416	Stern et al.	Aug. 07, 1990
B5,045,016	Stern et al.	Aug. 01, 1995
5,174,216	Miller et al.	Dec. 29, 1992
5,251,856	Young et al.	Oct. 12, 1993
5,267,318	Severson et al.	Nov. 30, 1993
5,341,453	Hill	Aug. 23, 1994
5,441,223	Young et al.	Aug. 15, 1995

**B. OTHER PUBLICATIONS**

Jayant, Nikil: "Signal Compression: Technology Targets and Research Directions" *IEEE Journal on Selected Areas in Communications*, Vol. 10, No. 5, June 1992, pp. 796-818.

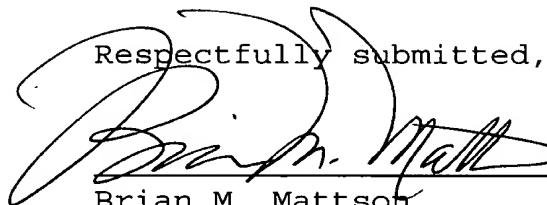
**II. REMARKS**

A copy of each of the above references together with form PTO-1449 is submitted herewith.

In view of the foregoing, Applicants submit all claims of the application are patentably distinguishable over the teachings of the above references, taken singly or in combination.

Early consideration of the application is, therefore,  
requested.

Respectfully submitted,



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